

Form PTO-1449 (modified)

Atty. Docket No.  
UTSD:788USSerial No.  
10/781,055

List of Patents and Publications for Applicant's

INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

Applicant  
Stephen Johnston  
Bao-Xi QuFiling Date:  
February 18, 2004Group:  
1653- 1636U.S. Patent Documents  
See Page 1Foreign Patent Documents  
See Page 1Other Art  
See Page 1

## U.S. Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date of App.
LM	A1	4,683,202	7/28/87	Mullis	435	91	10/25/85
LM	A2	5,928,906	7/27/99	Köster <i>et al.</i>	435	91.2	5/09/96
LM	A3	6,410,210	6/25/02	Gabriel	430	315	5/30/99

## Foreign Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Country	Class	Sub Class	Translation Yes/No

## Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

Exam. Init.	Ref. Des.	Citation
LM	C1	Barry <i>et al.</i> , "Protection against mycoplasma infection using expression-library immunization," <i>Nature</i> , 377(6550):632-635, 1995.
LM	C2	Breathnach and Chambon, "Organization and expression of eucaryotic split genes coding for proteins," <i>Annu. Rev. Biochem.</i> , 50:349-383, 1981.
LM	C3	Burke <i>et al.</i> , "The DPE, a conserved downstream core promoter element that is functionally analogous to the TATA box," <i>Cold Harbor Symposia on Quantitative Biology</i> , Volume LXIII, 75-82, The Mechanisms of Transcription, 1998.
LM	C4	Faisst and Meyer, "Compilation of vertebrate-encoded transcription factors," <i>Nucleic Acids Research</i> , 20(1):3-26, 1992.
LM	C5	Fujita <i>et al.</i> , "Delimitation and properties of DNA sequences required for the regulated expression of human interferon- $\beta$ gene," <i>Cell</i> , 41(2):489-496, 1985.
LM	C6	Fynan <i>et al.</i> , "DNA vaccines: protective immunizations by parenteral, mucosal, and gene-gun inoculations," <i>Proc. Natl. Acad. Sci., USA</i> , 90:11478-11482, 1995.
LM	C7	Graves <i>et al.</i> , "Homologous recognition of a promoter domain common to the MSV LTR and the HSV tk gene," <i>Cell</i> , 44(4):565-576, 1986.

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EXAMINER:

Laura McAllen

DATE CONSIDERED:

4/4/06

EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

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## Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

Exam. Init.	Ref. Des.	Citation
SM	C8	Gronostajski, "Site-specific DNA binding of nuclear factor I: effect of the spacer region," <i>Nucleic Acids Research</i> , 15(14):5545-5559, 1987.
SM	C9	Harms and Splitter, "Interferon- $\gamma$ inhibits transgene expression driven by SV40 or CMV promoters but augments expression driven by the mammalian MHC I promoter," <i>Hum. Gene Ther.</i> , 6(10):1291-1297, 1995.
SM	C10	Hu <i>et al.</i> , "Transcriptional factor AP-4 contains multiple dimerization domains that regulate dimer specificity," <i>Genes Dev.</i> , 4(10):1741-1752, 1990.
SM	C11	Kadonaga <i>et al.</i> , "Isolation of cDNA encoding transcription factor Sp1 and functional analysis of the DNA binding domain," <i>Cell</i> , 51(6):1079-1090, 1987.
SM	C12	Liu and Green, "A specific member of the ATF transcription factor family can mediate transcription activation by the adenovirus Ela protein," <i>Cell</i> , 61(7):1217-1224, 1990.
SM	C13	McDonnel and Askari, "Molecular medicine," <i>New Engl. J. Med.</i> , 334(1):42-45, 1996.
SM	C14	Mitchell and Tijian, "Transcriptional regulation in mammalian cells by sequence-specific DNA binding proteins," <i>Science</i> , 245(4916):371-378, 1989.
SM	C15	Pardoll <i>et al.</i> , "Exposing the immunology of naked DNA vaccines," <i>Immunity</i> , 3:165-169, 1995.
SM	C16	Perlmann <i>et al.</i> , "Determinants for selective RAR and TR recognition of direct repeat HREs," <i>Genes Dev.</i> , 7B:1411-1422, 1993.
SM	C17	Pollock <i>et al.</i> , "Human SRF-related proteins: DNA-binding properties and potential regulatory targets," <i>Genes Dev.</i> , 5(12A):2327-2341, 1991.
SM	C18	Qin <i>et al.</i> , "Promoter attenuation in gene therapy: interferon- $\gamma$ and tumor necrosis factor- $\alpha$ inhibit transgene expression," <i>Hum. Gene Ther.</i> , 8(17):2019-2029, 1997.
SM	C19	Ritter <i>et al.</i> , "Stimulatory and inhibitory action of cytokines on the regulation of hCMV-IE promoter activity in human endothelial cells," <i>Cytokine</i> , 12(8):1163-1170, 2000.
SM	C20	Robinson, "DNA based vaccines: new possibilities for disease prevention and treatment," <i>Can. Med. Assoc. J.</i> , 152(10):1629-1632, 1995.
SM	C21	Ruvkun and Finney, "Regulation of transcriptional and cell identity by POU domain proteins," <i>Cell</i> , 64(3):475-478, 1991.

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EXAMINER: Laura McGill

DATE CONSIDERED: 4/4/2006

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Exam. Init.	Ref. Des.	Citation
<i>SM</i>	C22	Smale and Baltimore, "The 'initiator' as a transcription control element," <i>Cell</i> , 57(1):103-113, 1989.
<i>SM</i>	C23	Spooner <i>et al.</i> , "DNA vaccination for cancer treatment," <i>Gene Therapy</i> , 2:173-180, 1995.
<i>SM</i>	C24	Tanaka <i>et al.</i> , "Recognition DNA sequences of interferon regulatory factor 1 (IRF-1) and IRF-2, regulators of cell growth and the interferon system," <i>Mol. Cell. Biol.</i> , 13(8):4531-4538, 1993.
<i>SM</i>	C25	Virbasius, "NRF-1, an activator involved in nuclear-mitochondrial interactions, utilizes a new DNA-binding domain conserved in a family of developmental regulators," <i>Genes Dev.</i> , 7(12A):2431-2445, 1992.
<i>SM</i>	C26	Williams and Tijian, "Analysis of the DNA-binding and activation properties of the human transcription factor AP-2," <i>Genes Dev.</i> , 5(4):670-682, 1991
<i>SM</i>	C27	Yen <i>et al.</i> , "An alternative spliced form of FosB is a negative regulator of transcriptional activation and transformation by Fos proteins," <i>Proc. Natl. Acad. Sci., USA</i> , 88(12):5077-5081, 1991.

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EXAMINER: *Lama W. Selti*DATE CONSIDERED: *4/4/2006*

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